MY BRAIN MADE ME DO IT Psychological drivers of employee behavior





© 2023 Caterpillar. All Rights Reserved



SAFETY FIRST

© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green



6% OF WORKFORCE INJURED YEARLY <u>፟፟፟፟፟፟፟፟፟፟፟</u> **** **☆☆EMPLOYEES ☆☆☆☆☆ FAMILY ☆☆☆☆☆ COMPANY ☆☆** ***** ** <u>፟፟፟፟፟፟፟፟፟፟፟</u>



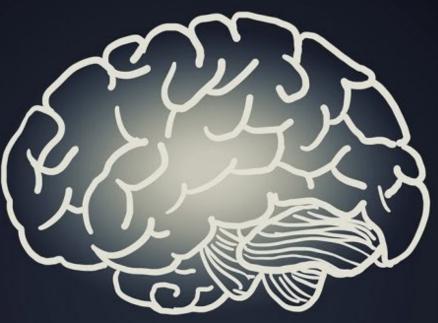
ENGAGED OUR EMPLOYEES. GOT COMMITMENT FROM LEADERS AT ALL LEVELS. CHANGED OUR SAFETY CULTURE. TRANSFORMED OUR BOTTOM LINE.



RECORDABLE INJURY FREQUENCY (RIF)

© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green 6

HOW DOES PSYCHOLOGY IMPACT SAFETY CULTURE?



ASSUMPTIONS ABOUT HOW OUR BRAINS WORK...

CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY

RATIONAL CONSCIOUS OBJECTIVE REASONABLE

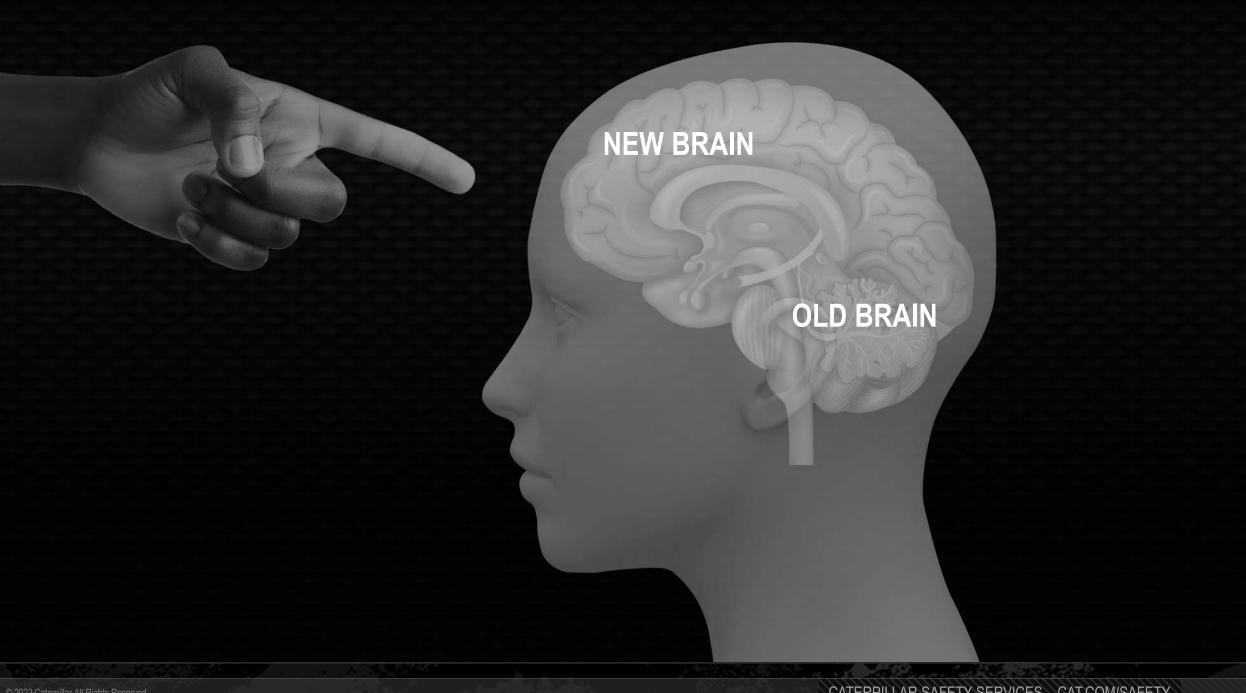
ALL OF THE TIME

CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY

HAS THIS EVER HAPPENED TO YOU?



© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green



Caterpillar: Confidential Green

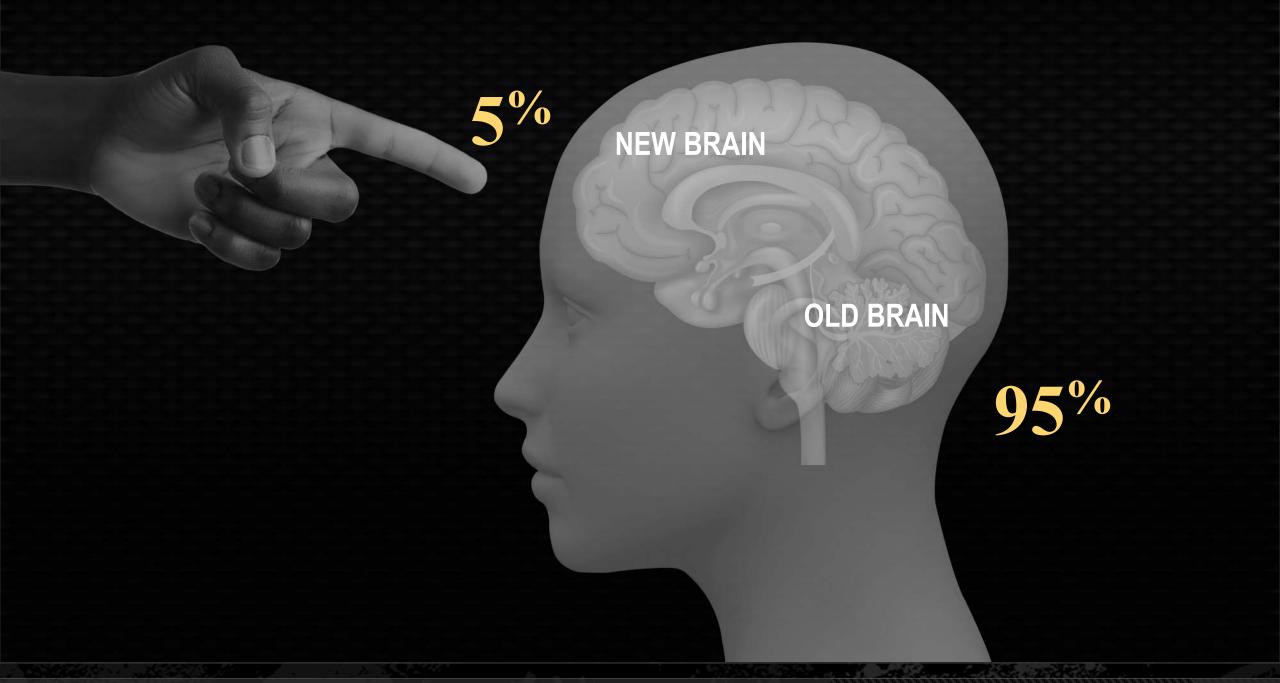
OLD BRAIN = FAST BRAIN

© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

NEW BRAIN = SLOW BRAIN



© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

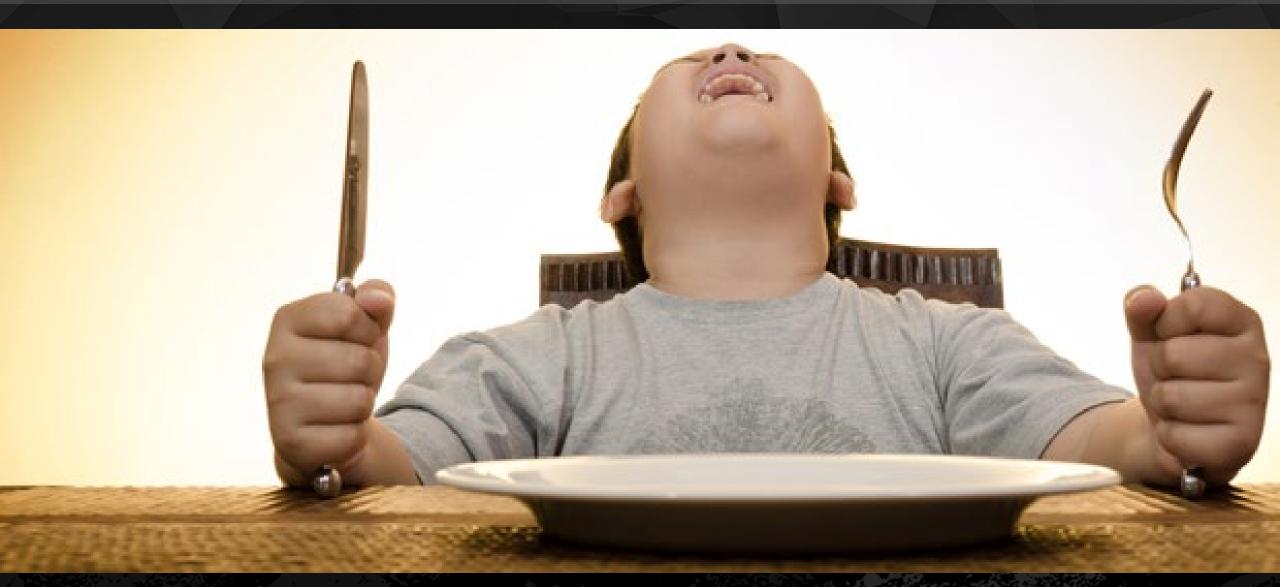


CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY

THE ECONOMICAL BRAIN

© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

OUR BRAIN IS HUNGRY!



© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

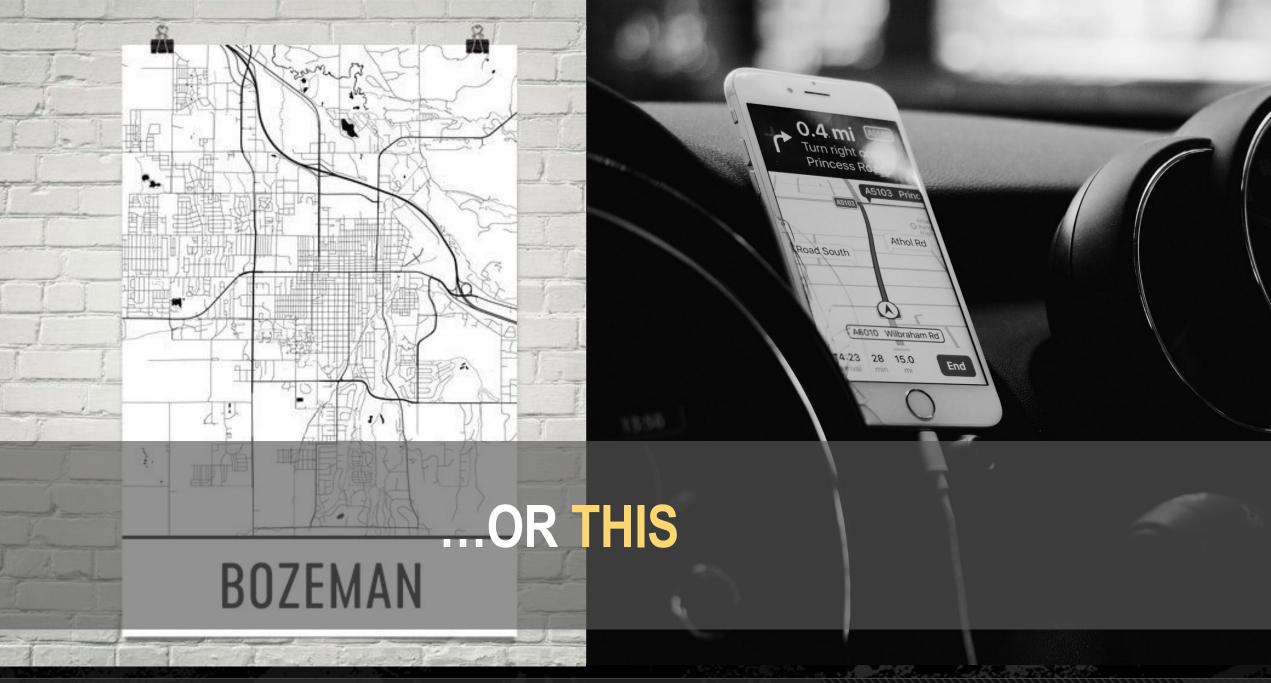
ABOUT 20% GOES TO FEEDING YOUR BRAIN



NAWODWHOITJKIZ

FOR EXAMPLE...

© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY



© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

QUESTION: WHAT ARE SOME "FAST BRAIN" ASPECTS OF THE JOB THAT COULD BECOME SAFETY ISSUES?

© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

HOW DID THEY NOT SEE THAT?!

CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY



THE BIASED BRAIN

© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

EVERY SECOND OF EVERYDAY OUR BRAIN IS FLOODED WITH INFORMATION

© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

WE HAVE A SWICH THAT STOPS US LOSING OUR MINDS

R.A.S. Reticular Activating System

Ð

D

CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY

REDUCES THIS TO A MANAGEABLE CHUNK FROM: TO: > 13,000 BITS OF DATA 7 +/- 2 BITS OF CONSCIOUS DATA

CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY

WE ARE LEFT WITH WHAT IS...



WHY WE HEAR OUR NAME IN A CROWD

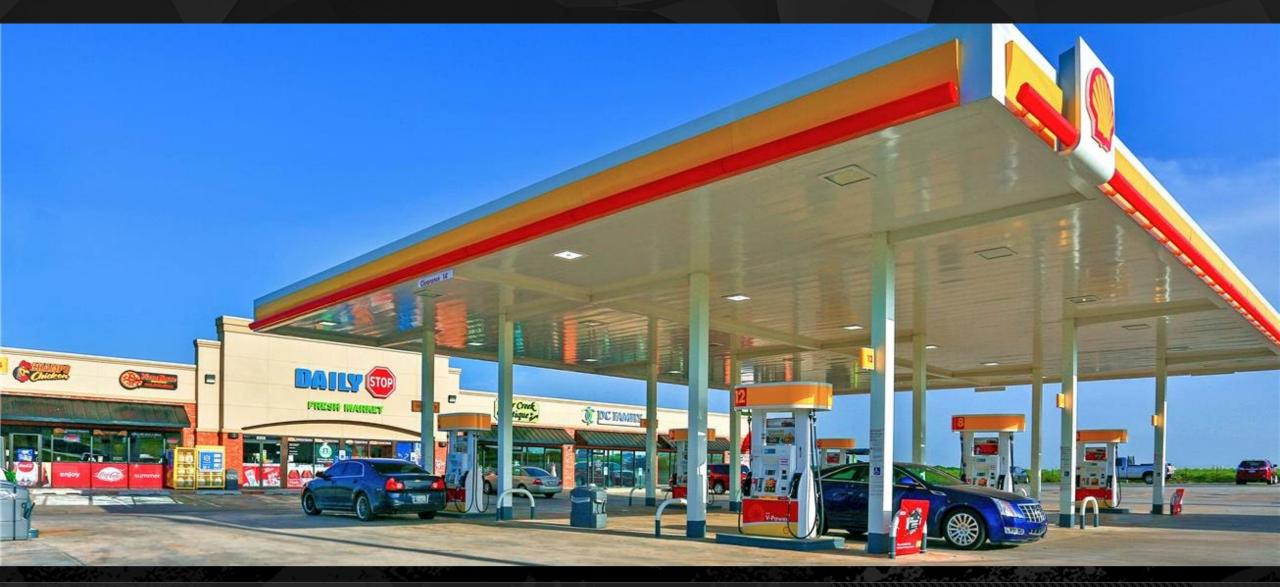


© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

NOTICE THAT CAR YOU'VE CHOSEN TO BUY



... THE GAS STATION WHEN WE'RE RUNNING LOW



© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

DOES IT APPLY TO ME?



© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

YOU ≠ ME

© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green



What is D.I.P.I. to your people?

- Be curious study your team like a scientist
- Watch what they say and do
- Ask questions
- Listen and suspend judgement
- Connect with them in ways that are personal



© 2023 Caterpillar All Rights Reserved CSS PowerPoint Template 202 Caterpillar: Confidential Green

The Pre-Shift Safety Meeting

Dangerous

Discuss near-term tasks with specific hazards to mitigate

Pleasurable

Provide recognition for proactive measures taken by employees to make safety positive



Make them DIPI!

Important

Relate topic to personal impact of those at home depending on them to work safe

Interesting

Mix in topics important to employees that include safety off the job to keep them engaged

REFLECTION

WHAT HAZARDOUS TASKS HAVE A RISK OF BECOMING "FAST BRAIN" ACTIVITIES?

HOW CAN WE MAKE THESE TASKS MORE DIPI TO EMPLOYEES?

© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

OUR RESPONSE = THEIR REACTION

© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

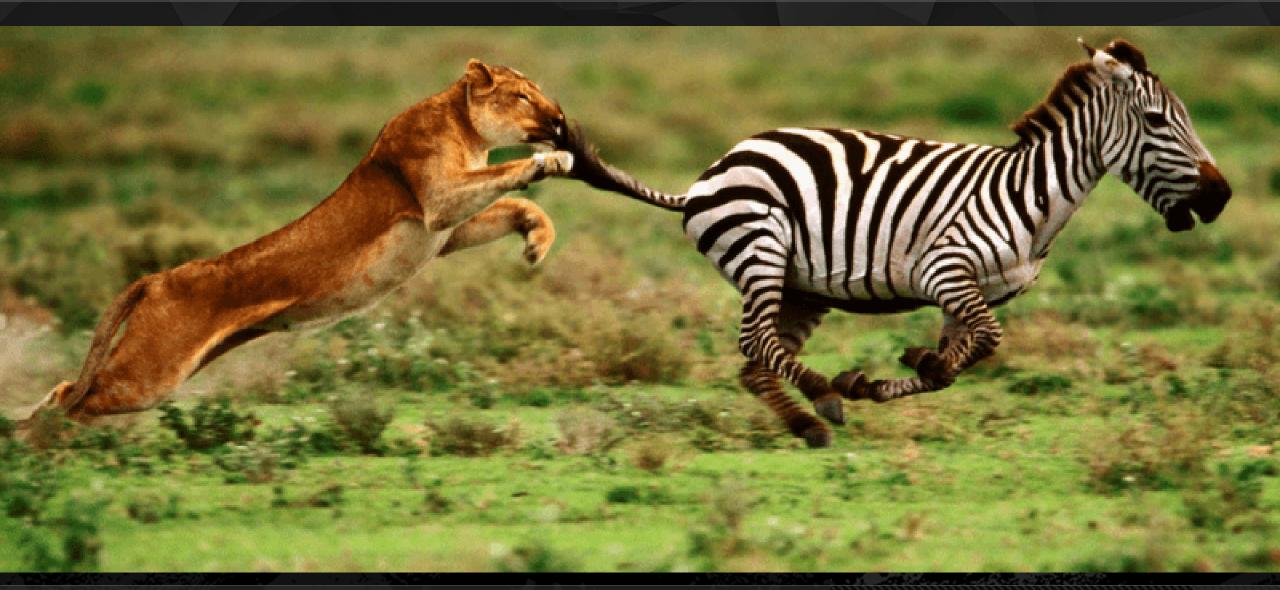
HOW DO YOU FEEL?





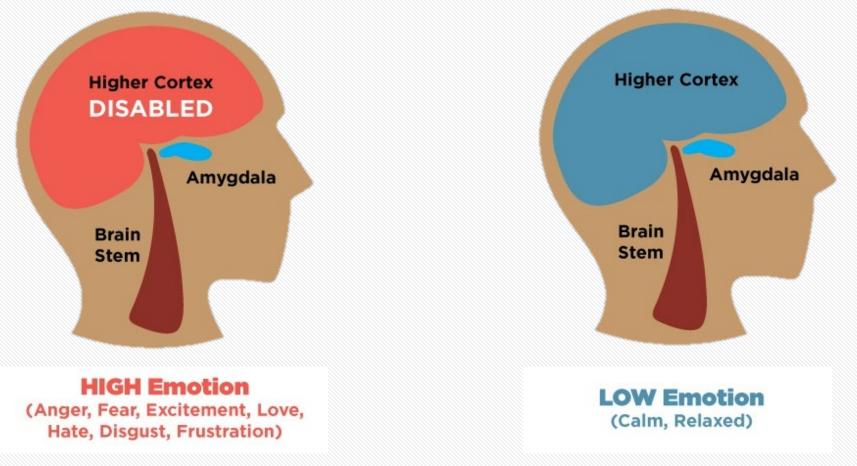
© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

UNDERSTANDING YOUR REACTION



© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

AMYGDALA HIJACKING



Source: TTI Success Insights

CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY

STATUS CERTAINTY AUTONOMY RELATIONSHIP FAIRNESS

DR. DAVID ROCK



What are some potential threats to employee status that safety situations or incidents could impact?

- ✓ Show you care more about the person than the incident or impact to the business.
- Remove your stripes and talk human-to-human.
- Treat them as an expert to help find solutions to the issue together.





What happens when we don't clearly communicate our expectations or what the future holds?

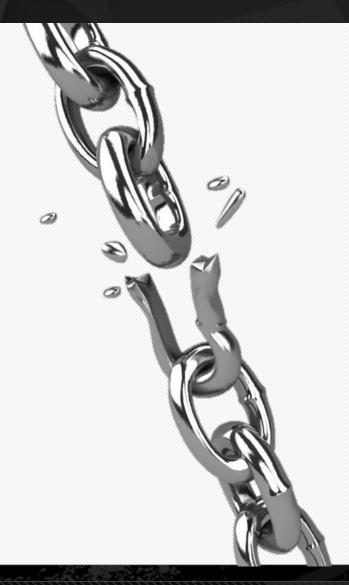
- ✓ Set clear expectations, then notice and respond when they are completed.
- Communicate early and often with multiple channels when change or uncertainty is involved.
- \checkmark Explain what will stay the same in the midst of change.



AUTONOMY

What are some safety implications of employees not feeling in control of their own work or destiny?

- ✓ Involve employees in creating the safety activities that govern how they work.
- ✓ Allow flexibility in how/when/where safety activities are completed.
- Let employees know that they are allowed to try new things and fail safely.



RELATIONSHIP

How can safety threaten relationships on the job?

- \checkmark Focus on facts, not on faults in investigations.
- ✓ Put more emphasis on recognizing people for creating solutions, rather than disciplining people for mistakes.
- ✓ Teach your people how to address each other from a position of care instead of enforcing rules.





How can safety be "unfair"?

- ✓ Set clear expectations for how the job should be done and consistently notice and recognize when it's done by everyone.
- \checkmark Apply discipline sparingly, but where deserved.
- ✓ Share why decisions have been made, even when it's difficult.



RECOGNITION > DISCIPLINE

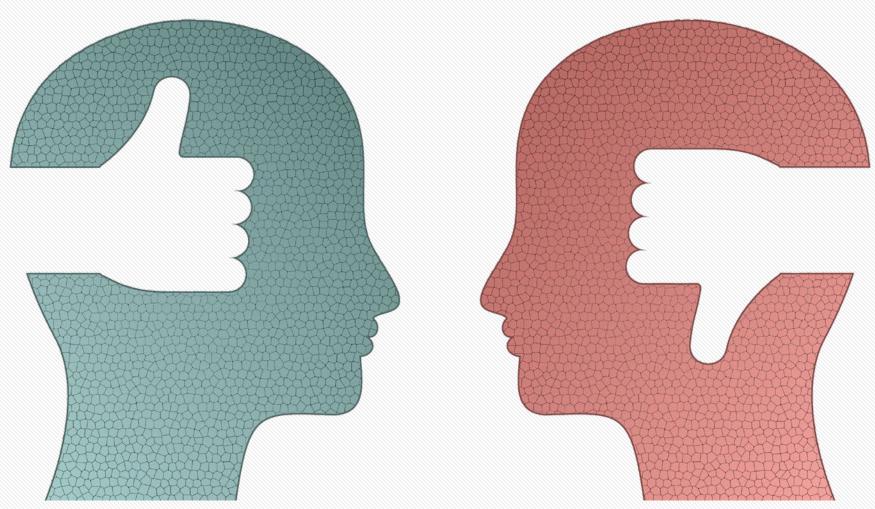
© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

As a percentage, do employees do more things right or wrong?

Doing Things RIGHT	Doing Things WRONG
Need to focus here at a ratio of 7:1	Most leadership activities focus here
RECOGNIZE	COACH

CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY

WORDS CHANGE YOUR BRAIN



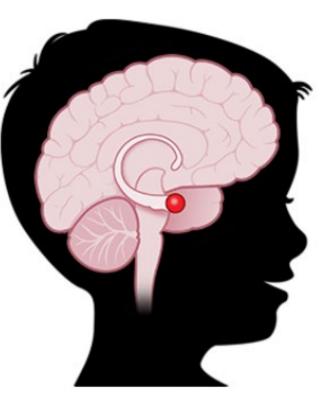
CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY

Negative Words

Activate fear center (amygdala)

Releases hormone that shuts down logic / reasoning

Results in "fight or flight" reaction



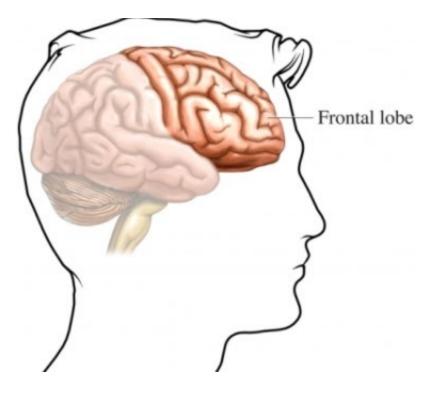
CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY

Positive Words

Release a "feel-good" hormone (dopamine)

Activate the rational brain (frontal lobe)

Motivates action, creative thinking and resiliency



CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY

But we must do it with quality...

TIMELY RELEVANT SINCERE CONFIRMED FREQUENT

© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

WE GO CRAZY OVER OUR SPORTS TEAM!



© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green

A TYPICAL WEEK AT WORK...

CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY



How Proactive Culture Works



© 2023 Caterpillar All Rights Reserved Caterpillar: Confidential Green Wednesday, September 27, 2023

SAFETY AS A SYSTEM

Your full-service safety culture & leadership solution

CONTINUOUS IMPROVEMENT LEADERSHIP **PROCESSES** technologi **LEARNING &**

TRAINING

COMMUNICATION

Caterpillar: Confidential Green

FOR MORE INFORMATION, VISIT WWW.CAT.COM/SAFETY

CONTACT: JUSTIN GANSCHOW, BUSINESS DEV MANAGER GANSCHOW JUSTIN R@CAT.COM | PHONE: 309.675.1280



SCAN ME

Scan the QR code to:

- ✓ Request a copy of the presentation
- Request more info about Caterpillar Safety Services
- ✓ Opt-in to receive insightful thought leadership content

CATERPILLAR®

© 2023 Cateroillar, All Rights Reserve

CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY

St. Jack Street and States

FOR MORE INFORMATION, VISIT WWW.CAT.COM/SAFETY

CONTACT: JUSTIN GANSCHOW, BUSINESS DEV MANAGER GANSCHOW JUSTIN R@CAT.COM | PHONE: 309.675.1280



SCAN ME

Scan the QR code to:

- ✓ Request a copy of the presentation
- Request more info about Caterpillar Safety Services
- ✓ Opt-in to receive insightful thought leadership content

CATERPILLAR®

© 2023 Cateroillar, All Rights Reserve